Gestión de fondos, gestores patrimoniales externos y licencias: resumen

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Conduct, someten a los gestores y a sus clientes a su propia diligencia debida. Los gestores más pequeños pueden recurrir a un proveedor externo de servicios de cumplimiento normativo, aunque la responsabilidad sigue recayendo en el consejero delegado y los administradores. La comparación con Suiza muestra estándares similares, aplicados mediante una arquitectura de supervisión más centralizada.

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